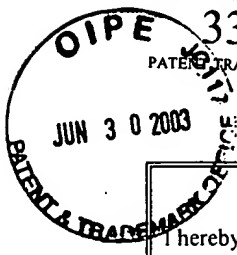




3745



PATENT

Attorney Docket No.: CSI-2012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this paper and the accompanying documents are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313, on June 27, 2003.

Harry Macey

In re Application of:

Nguyen, et al.

Serial No.: 09/686,729

Filing Date: October 10, 2000

Title: MINIMALLY INVASIVE
ANNULOPLASTY PROCEDURE AND
APPARATUS

Examiner: A. Hoey

Group Art Unit: 3765

RECEIVED

JUL 02 2003

TECHNOLOGY CENTER R3700

TRANSMITTAL

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following:

1. Information Disclosure Statement (3 pgs)
2. Form PTO-1449 (2pgs) and a copy of all cited references
3. A check in the amount of \$180.00 to cover the following fees:
 - a. Information Disclosure Statement fee
4. Return Post Card

☐ Authorization to charge the ____ is provided on the _____. A duplicate copy of this sheet is enclosed.

☐ The Commissioner is hereby authorized to charge any fees required by this submission to Deposit Account No. * referencing Attorney Docket No. *. A duplicate copy of this sheet is enclosed.

- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1947, referencing Attorney Docket No. CSI-2012.

Respectfully submitted,

Date: June 27, 2003

By: 

Harry J. Macey

Reg. No. 32,818

Attorney for Applicant

LAW OFFICE OF HARRY J. MACEY
1301 Shoreway Road, Suite 121
Belmont, CA 94002-4106
Telephone: 650.654.9555
Facsimile: 650.654.9554

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application, a copy of each of the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the patent application filing.
- ☐ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.

- ☒ A fee is required. A check in/including the amount of \$180.00 is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided below.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

Applicants further bring the attention of the Examiner to the following co-owned pending patent application:

U.S. Serial No. 09/090,305 filed June 3, 1998 and the related continuation application

Serial No. 10/364,064 filed February 10, 2003

U.S. Serial No. 09/089,884 filed June 3, 1998 and the related continuation application

Serial No. 10/439,973 filed May 16, 2003

U.S. Serial No. 10/188,013 filed July 1, 2002, which is a continuation of Serial No.

09/259,705, now U.S. Patent No. 6,514,265

U.S. Serial No. 09/260,623 filed March 1, 1999 and the related continuation application

Serial No. 10/208,405 filed July 29, 2002

U.S. Serial No. 09/686,004 filed October 10, 2000

U.S. Serial No. 10/120,579 filed April 10, 2002

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1947 referencing Attorney Docket No. CSI-2012. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Date: June 27, 2003

By: 

Harry J. Macey
Registration No. 32,818
Attorney for Applicant

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